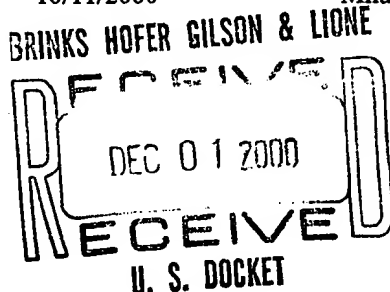




UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/689,263 | 10/11/2000 | Milan Mrksich | 7814/42 |

Brinks Hofer Gilson & Lione
P O Box 10395
Chicago, IL 60610

FORMALITIES LETTER



Date Mailed: 11/27/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$764.
 - \$684 for 38 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 894.

*A copy of this notice **MUST** be returned with the reply.*

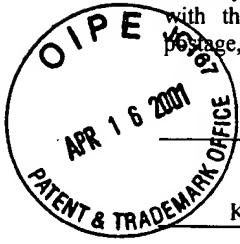
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/23/2001 GTEFFERA 00000084 231925 09689263

| | |
|-----------|-----------|
| 01 FC:103 | 684.00 CH |
| 02 FC:102 | 80.00 CH |
| 03 FC:105 | 130.00 CH |



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231

on 4/12/01
Date of Deposit

K. Shannon Mrksich, Ph.D., Reg. No. 36,675

Name of applicant, assignee or
Registered Representative

Smrksich

Signature

4/12/01
Date of Signature

Our Case No. 7814/42

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Milan Mrksich et al.

Serial No. 09/689,263

Filing Date: October 11, 2000

For: SURFACE MODIFYING
COMPOSITION

Examiner Not Assigned

Group Art Unit No. 1754

LETTER TO COMMISSIONER

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants request the Commissioner to accept submission of the Response to Notice to File Missing Parts. The items listed in the Notice to File Missing Parts of Application Filing Date Granted, dated November 27, 2000, were filed on Monday, January 29, 2001. These items were:

- 1) Fully executed Declaration for Patent Application

2) Fully executed Assignment, with an assignment transmittal letter and a check for \$40

3) Transmittal letter authorizing for the Commissioner to charge any deficiencies in fees to Deposit Account No. 23-1925.

The items listed in the Notice were filed within the period for response. No additional fee is believed to be required.

The Commissioner is hereby authorized to charge any fees required to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



K. Shannon Mrksich, Ph.D.
Registration No. 36,675
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200



| TRANSMITTAL LETTER | | | Case No. 7814/42 |
|---|---------------------------------|--------------------------|------------------------|
| Serial No. 09/689,263 | Filing Date October 11, 2000 | Examiner Not Assigned | Group Art Unit 1754 |
| Inventor(s) Milan Mrksich et al. | | | |
| Title of Invention Surface Modifying Composition | | | |

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Letter to Commissioner; Response to Missing Parts (in dup.); Notice to File Missing Parts; and return post card.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra |
|---|---|-------|---------------------------------------|------------------|
| Total | | Minus | | |
| Indep. | | Minus | | |
| First Presentation of Multiple Dep. Claim | | | | |

Small Entity

| Rate | Add'l Fee |
|--------------------|--------------|
| x \$9 = | |
| x 40 = | |
| + \$135 = | |
| Total add'l fee | \$ |

or

Other Than
Small Entity

| Rate | Add'l Fee |
|--------------------|--------------|
| x \$18 = | |
| x \$80 = | |
| + \$270 = | |
| Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

S. Mrksich

K. Shannon Mrksich, Ph.D.
Registration No. 36,675
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 4-12-01.

Date: 4-12-01 Signature: *S. Mrksich*



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, D.C. 20231

on 4/12/01

Date of Deposit

K. Shannon Mrksich, Ph.D., Reg. No. 36,675

Name of applicant, assignee or
Registered Representative

S. Mrksich

Signature

4/12/01

Date of Signature

Case No. 7814/42

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Milan Mrksich et al.

Serial No.: 09/689,263

Filed: October 11, 2000

For: SURFACE MODIFYING
COMPOSITION

Attention: Manager,
Application Branch

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

Commissioner for Patents
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated November 27, 2000, a copy of which is attached, the following documents were filed on January 29, 2001:



Fully executed Declaration for Patent Application for the above-referenced patent application.

- ☒ Applicant is:
- ☒ a small entity, verified statement is:
- ☐ attached
- ☒ already filed
- ☐ other than small entity
- ☐ Checks totaling \$_____ for:
- ☐ Filing Fee of \$_____.
- ☐ Surcharge of \$_____.
- ☐ Additional Claim Fees of \$_____.
- ☐ Petition for Extension of Time (37 C.F.R. § 1.136(a)) to file missing parts (in duplicate).
- ☒ Other: Transmittal Letter authorizing the Commissioner to charge any deficiencies in fees to Deposit Account No. 23-1925; Assignment Transmittal Letter; Assignment; Check in the amount of \$40.00.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: 4/11/01

S. Mrksich

K. Shannon Mrksich, Ph.D.
Registration No. 36,675
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, IL 60610
(312)321-4200

rev. Dec.-99
Document6